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PTO/SB/21 (08-00)

087-REC
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TC 1700

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/028,657	
	Filing Date	December 21, 2001	
	First Named Inventor	Vlad J. Novotny	
	Group Art Unit	2874	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	3 plus	Attorney Docket Number	AO-001-1D

Copies of each cited document		ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	<ul style="list-style-type: none">• 1 Page PTO-1449 Citing 3 documents and a copy of each cited document• Return receipt postcard
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Arthur J. Behiel, Patent Attorney
Signature	<i>Arthur J. Behiel</i>
Date	October 17, 2002

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Applicant: Vlad J. Novotny
Assignee: Active Optical Networks, Inc.

Title: "PATTERN-TRANSFER PROCESS FOR FORMING MICRO-ELECTRO-MECHANICAL STRUCTURES"

Serial No.: 10/028,657 Filed: December 21, 2001

Examiner: Tel:

Docket No.: AO-001-1D Art Unit: 2874

Box DD
Commissioner For Patents
Washington, D.C. 20231

October 17, 2002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, Applicant brings the 3 documents listed on the enclosed form PTO-1449 to the Examiner's attention in the above-captioned application. Citation of the listed documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant application;
2. a representation that a search has been made; or
3. an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

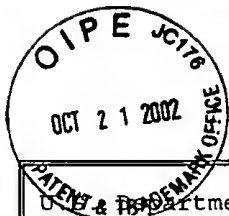
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10.17.02
Date

Laurie Moreno

Respectfully submitted,

Arthur J. Behiel
Attorney for Applicants
Reg. No. 39,603



Sheet 1 of 1

U.S. Department of Commerce, Patent and Trademark Office	Serial No.: 10/028,657
	Filing Date: 12/21/01
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Inventor: Vlad J. Novosylov
"Pattern-Transfer Process for Forming Micro-Electro-Mechanical Structures"	Group Art Unit: 7824
	Examiner Name: Unknown
	Attorney Docket No.: AO-001-1D

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U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date, If Appropriate
	AA	5,724,123	03/03/98	Tanaka	356	5.01	
	AB	6,320,993B1	11/20/01	Laor	385	16	

Foreign Patent Documents

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AC							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AD	"Silicon micromachines for lightwave networks: the little machines that will make it big," by David Bishop. December 2000. SPIE's Optics & Information Systems. 8 pages.
	AE	
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